

July 17, 2020

National Stock Exchange of India Limited  
Listing Department  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 051

BSE Limited  
Listing Department  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir/Madam,

**Sub:** Submission of Notices published in newspapers regarding the Annual General Meeting of the Company

**Ref:** NSE Symbol - ISEC and BSE Scrip Code - 541179

Pursuant to Regulation 30 read with Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we forward herewith copies of the Notices published today in the newspapers ('Financial Express' and 'Loksatta') having electronic editions. The said Notices were published in terms of MCA's General Circular No. 20/2020 dated May 5, 2020, *inter alia*, intimating the public that the Twenty-Fifth Annual General Meeting of the Company will be held on Tuesday, August 11, 2020 at 4:00 p.m. (IST) through Video Conferencing/Other Audio Visual Means.

Kindly take the above information on your record.

Yours faithfully,  
**For ICICI Securities Limited**



**Rupesh Jadhav**  
**Senior Manager**

Encl.: As above

Member of National Stock Exchange of India Ltd, BSE Ltd and Metropolitan Stock Exchange of India Ltd.  
SEBI Registration : INZ000183631  
CIN No.: L67120MH1995PLC086241

**ICICI Securities Limited**  
**Registered Office (Institutional):**  
ICICI Centre, H. T. Parekh Marg,  
Churchgate, Mumbai 400 020, India.  
Tel (91 22) 2288 2460/70  
Fax (91 22) 2282 6580

**Corporate Office (Retail):**  
Shree Sawan Knowledge Park, Plot No. D-507,  
T.T.C. Ind. Area, M.I.D.C, Turbhe, Navi Mumbai - 400 705  
Tel (91 22) 4070 1000  
Fax (91 22) 4070 1022

**Name of Compliance Officer (Broking Operations) :** Mr. Anoop Goyal  
**Email Address:** [complianceofficer@icicisecurities.com](mailto:complianceofficer@icicisecurities.com) / Tel (91 22) 4070 1000  
**Website Address:** [www.icicisecurities.com](http://www.icicisecurities.com) / [www.icicidirect.com](http://www.icicidirect.com)



## NOTICE TO THE SHAREHOLDERS OF THE TWENTY-FIFTH ANNUAL GENERAL MEETING

1. NOTICE is hereby given that the Twenty-Fifth Annual General Meeting ('AGM') of ICICI Securities Limited ('the Company') will be held on Tuesday, August 11, 2020 at 4:00 p.m. (IST) through Video Conferencing ('VC')/Other Audio Visual Means ('OAVM'), to transact the business that will be as set out in the Notice of the Twenty-Fifth AGM.
2. In view of the COVID-19 pandemic, the Ministry of Corporate Affairs ('MCA') has *vide* its circular dated May 5, 2020 read with circulars dated April 8, 2020 and April 13, 2020 ('MCA Circulars') permitted holding of the AGM through VC/OAVM, without the physical presence of the Members at a common venue. In compliance with the provisions of the Companies Act, 2013 read with MCA Circulars and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the AGM of the Company will be held through VC/OAVM.
3. In compliance with the aforesaid MCA Circulars and SEBI Circular dated May 12, 2020, the Notice of the AGM and the Annual Report of the Company for the financial year 2019-20 ('Annual Report') will be sent only by electronic mode to those Members whose e-mail IDs are registered with the Company/Registrar & Transfer Agent/Depositories. The Notice of the AGM and Annual Report will also be available on the website of the Company at www.icicisecurities.com, website of National Securities Depository Limited ('NSDL') at www.evoting.nsdl.com and websites of the Stock Exchanges *i.e.* National Stock Exchange of India Limited at www.nseindia.com and BSE Limited at www.bseindia.com.
4. The Members can attend and participate in the AGM through VC/OAVM facility only. The instructions for joining the AGM and the manner of participation in the remote e-voting or casting the vote through the e-voting system during the AGM are provided in the Notice. Members attending through VC/OAVM facility shall be counted for the purpose of reckoning the quorum under Section 103 of the Companies Act, 2013.
5. The facility of casting votes by a Member using an electronic voting system from a place other than the venue of the AGM ('remote e-voting') as well as voting during the meeting will be provided by NSDL. Detailed procedure for voting is provided in the Notice of the AGM.
6. If your e-mail ID is already registered with the Company/Registrar & Transfer Agent/Depositories, login details for e-voting are being sent on your registered e-mail ID.
7. The final dividend for the financial year ended March 31, 2020, if approved at the AGM, would be paid to those Members who hold shares as at the close of business hours on Tuesday, August 4, 2020.
8. Process for obtaining User ID and Password/AGM Notice and Annual Report for those shareholders whose e-mail ids are not registered:
  - I. In case shares are held in physical mode, please provide Folio No., Name of shareholder, scanned copy of the share certificate (front and back), self-attested scanned copy of PAN card and self-attested scanned copy of Aadhaar Card by e-mail to investors@icicisecurities.com or einward.ris@kfintech.com.
  - II. In case shares are held in demat mode, please provide DPID-CLID (16 digit DPID + CLID or 16 digit beneficiary ID), Name, client master or copy of Consolidated Account statement, self-attested scanned copy of PAN card and self-attested scanned copy of Aadhaar Card to investors@icicisecurities.com.
  - III. Alternatively, member may send an e-mail request to evoting@nsdl.co.in for obtaining User ID and Password by providing the details mentioned in Point (I) or (II), as the case may be.
9. Members who have not registered/updated their e-mail address with KFin Technologies Private Limited ('KFINTech/R&TA')/Company may write to einward.ris@kfintech.com, if shares are held in physical mode or with their Depository Participants ('DPs'), if shares are held in electronic mode, for receiving all future communications from the Company including Annual Reports, Notices, Circulars, etc., electronically.
10. Members holding shares in physical mode, who have not registered/updated their bank mandate for receiving dividend directly in their bank accounts through Electronic Clearing Service ('ECS') or any other electronic means, are requested to send the following documents to KFINTech at einward.ris@kfintech.com:
  - a. a signed request letter mentioning name, folio number and complete address;
  - b. self-attested scanned copy of the PAN Card;
  - c. self-attested scanned copy of any document (such as AADHAAR Card, Driving Licence, Election Identity Card, Passport) in support of the address of the Member as registered with the Company;
  - d. Name and Branch of Bank in which dividend is to be received and Bank Account type;
  - e. Bank Account Number allotted by the Bank after implementation of Core Banking Solutions;
  - f. 11 digit IFSC Code; and
  - g. Self-attested scanned copy of cancelled cheque bearing the name of the Member or first holder, in case shares are held jointly.
11. Members holding shares in dematerialised mode are requested to intimate all changes pertaining to their bank details, ECS mandates, e-mail addresses, nominations, power of attorney, change of address/name, etc. to their DPs only and not to the Company or R&TA. Any such changes effected by the DPs will automatically reflect in the Company's subsequent records.
12. In case information for remittance of dividend through ECS is not updated for a particular Member, warrants/cheques shall be dispatched upon normalization of the postal services, post COVID-19 pandemic.
13. As you may be aware that as per Income Tax Act, 1961, as amended by the Finance Act, 2020, dividends paid or distributed by a Company after April 1, 2020 shall be taxable in the hands of shareholders. The Company is also required to deduct tax at source from dividend paid to shareholders at the prescribed rates. For the prescribed rates for various categories, the shareholders are requested to refer to the Finance Act, 2020 and amendments thereto. The shareholders are requested to update their PAN, residential status as per Income Tax Act, 1961 *i.e.* Resident or Non Resident, for financial year 2020-21, category of shareholder, e-mail ID and address with the Company/KFINTech (in case of shares held in physical mode) and their DPs (in case of shares held in demat mode).

A Resident individual shareholder with PAN and who is not liable to pay income tax can submit a yearly declaration in Form No. 15G/15H, to avail the benefit of non-deduction of tax at source by e-mail to einward.ris@kfintech.com or upload at <https://ris.kfintech.com/form15/> by Tuesday, August 4, 2020. Shareholders are requested to note that in case their PAN is not registered/valid, the tax will be deducted at a higher rate of 20%.

Non-resident shareholders other than those covered under section 196D of Income Tax Act, 1961 can avail beneficial rates under tax treaty between India and their country of residence, subject to providing necessary documents *i.e.* PAN, No Permanent Establishment and Beneficial Ownership Declaration, Tax Residency Certificate, Form 10F, any other document which may be required to avail the tax treaty benefits by sending an e-mail to einward.ris@kfintech.com or upload at <https://ris.kfintech.com/form15/>. The aforesaid declarations and documents need to be submitted by the shareholders by Tuesday, August 4, 2020.

For **ICICI Securities Limited**

Sd/-

Raju Nanwani

Senior Vice President &  
Company Secretary

FCS 4785

Date: July 16, 2020

Place: Mumbai



