

Format of Holding of Specified securities

1. Name of Listed Entity: **ICICI SECURITIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **541179, ISEC, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c): **31(1)(b)**
 - a. if under 31(1)(b) then indicate the report for quarter ending: **30/09/2018**
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?	Yes	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C)	No. of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	No. of Locked in Shares		No. of Shares pledged or otherwise encumbered		No. of equity shares held in dematerialized form
								No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
(A)	Promoter & Promoter Group	8	255216095	0	0	255216095	79.22	255216095	0	255216095	79.22	255216095	100.00	0	0.00	255216095	
(B)	Public	125784	66925305	0	0	66925305	20.78	66925305	0	66925305	20.78	0	0.00	0	0.00	66925300	
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	
Total		125792	322141400	0	0	322141400	100.00	322141400	0	322141400	100.00	255216095	79.22	0	0.00	322141395	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (III+IV+V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C)	No. of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	No. of Locked in Shares		No. of Shares pledged or otherwise encumbered		No. of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total (VIII)								
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	6	1200	0	0	1200	0.00	1200	0	1200	0.00	0	0.00	1200	100.00	0	0.00	1200
	PIYUSH GARG (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	RIPUJIT CHAUDHURI (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	SOHANDEEP SINGH HATTAR (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	KETAN RAJNIKANT KARKHANIS (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	SHILPA NAVAL KUMAR (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	AJAY SARAF (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	1	255214695	0	0	255214695	79.22	255214695	0	255214695	79.22	0	79.22	255214695	100.00	0	0.00	255214695
	ICICI BANK LTD	1	255214695	0	0	255214695	79.22	255214695	0	255214695	79.22	0	79.22	255214695	100.00	0	0.00	255214695
(d)	Any Other	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	ICICI SECURITIES PRIMARY DEALERSHIP LIMITED (As a Nominee of and jointly with ICICI Bank Limited)	1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	200	100.00	0	0.00	200
	Sub-Total (A)(1)	8	255216095	0	0	255216095	79.22	255216095	0	255216095	79.22	0	79.22	255216095	100.00	0	0.00	255216095
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	8	255216095	0	0	255216095	79.22	255216095	0	255216095	79.22	0	79.22	255216095	100.00	0	0.00	255216095

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (III+IV+V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C)	No. of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	No. of Locked in Shares		No. of Shares pledged or otherwise encumbered		No. of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								(IX)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)	(XII)	(XIII)				
(1)	Institutions																	
(a)	Mutual Funds	16	34046834	0	0	34046834	10.57	34046834	0	34046834	10.57	0	10.57	0	0.00	0	0.00	34046834
	ICICI PRUDENTIAL MUTUAL FUND (under its various Schemes)	1	7696239	0	0	7696239	2.39	7696239	0	7696239	2.39	0	2.39	0	0.00	0	0.00	7696239
	L & T MUTUAL FUND (under its various Schemes)	1	3905130	0	0	3905130	1.21	3905130	0	3905130	1.21	0	1.21	0	0.00	0	0.00	3905130
	IDFC MUTUAL FUND (under its various Schemes)	1	4684774	0	0	4684774	1.45	4684774	0	4684774	1.45	0	1.45	0	0.00	0	0.00	4684774
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds	2	3440841	0	0	3440841	1.07	3440841	0	3440841	1.07	0	1.07	0	0.00	0	0.00	3440841
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors	29	12511691	0	0	12511691	3.88	12511691	0	12511691	3.88	0	3.88	0	0.00	0	0.00	12511691
(f)	Financial Institutions/Banks	5	1036409	0	0	1036409	0.32	1036409	0	1036409	0.32	0	0.32	0	0.00	0	0.00	1036409
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other																	
	Sub Total (B)(1)	52	51035775	0	0	51035775	15.84	51035775	0	51035775	15.84	0	15.84	0	0.00	0	0.00	51035775
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	120277	10729260	0	0	10729260	3.33	10729260	0	10729260	3.33	0	3.33	0	0.00	0	0.00	10729255
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	2	191914	0	0	191914	0.06	191914	0	191914	0.06	0	0.06	0	0.00	0	0.00	191914
(b)	NBFCs Registered with RBI	3	353512	0	0	353512	0.11	353512	0	353512	0.11	0	0.11	0	0.00	0	0.00	353512
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other																	
	TRUSTS	1	775	0	0	775	0.00	775	0	775	0.00	0	0.00	0	0.00	0	0.00	775
	NON RESIDENT INDIANS	1503	554245	0	0	554245	0.17	554245	0	554245	0.17	0	0.17	0	0.00	0	0.00	554245
	CLEARING MEMBERS	74	76525	0	0	76525	0.02	76525	0	76525	0.02	0	0.02	0	0.00	0	0.00	76525
	BODIES CORPORATES	422	3678619	0	0	3678619	1.14	3678619	0	3678619	1.14	0	1.14	0	0.00	0	0.00	3678619
	H U F	3450	304680	0	0	304680	0.09	304680	0	304680	0.09	0	0.09	0	0.00	0	0.00	304680
	Sub Total (B)(3)	125732	15889530	0	0	15889530	4.93	15889530	0	15889530	4.93	0	4.93	0	0.00	0	0.00	15889525
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	125784	66925305	0	0	66925305	20.78	66925305	0	66925305	20.78	0	20.78	0	0.00	0	0.00	66925300

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (IV+V+VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C)	No. of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	No. of Locked in Shares		No. of Shares pledged or otherwise encumbered		No. of equity shares held in dematerialized form	
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No. of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,

No of Shareholders	No of shares
0	0