

Shareholding pattern of ICICI Securities Limited as on September 30, 2022

General information about company	
Scrip code	541179
NSE Symbol	ISEC
MSEI Symbol	NOTLISTED
ISIN	INE763G01038
Name of the company	ICICI Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Note - Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XII) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XIII) = (VII)+(X) As a % of (A+B+CZ)	Number of Locked in shares (XIV)		Number of Shares pledged or otherwise encumbered (XV)		Number of equity shares held in dematerialized form (XVI)	Sub-categorization of shares			
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under		
								Class eg: X	Class eg: Y	Total											Sub-category (I)	Sub-category (II)	Sub-category (III)
(A)	Promoter & Promoter Group	1	241652692			241652692	74.86	241652692.00					74.86					241652692					
(B)	Public	191508	81163349			81163349	25.14	81163349.00					25.14					81150084	0	0	0		
(C)	Non Promoter- Non Public																						
(C1)	Shares underlying DRs																						
(C2)	Shares held by Employee Trusts																						
	Total	191509	322816041			322816041	100	322816041.00					100					322802776	0	0	0		

Sr.	Category & Name of the Shareholders (i)	Nos. Of shareholders (ii)	No. of fully paid up equity shares held (iii)	No. Of Partly paid-up equity shares held (iv)	No. Of shares underlying Depository Receipts (v)	Total nos. shares held (vi) = (iii)+(iv)+(v)	Number of Voting Rights held in each class of securities(x)			No. Of Shares Underlying Outstanding convertible securities (x)	No. Of Shares Underlying Outstanding Warrants (xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (x) + (xi)	Shareholding, as a % assuming full conversion of convertible securities (As a percentage of diluted share capital) (ii)-(vi)+(x)+(xi) As a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xv)	Sub-categorization of shares Shareholding (No. of shares) under			
							No of Voting (DV)Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (ii)	No. (a)		As a % of total Shares held (ii)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
							Class eg x	Class eg y	Total													
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																						
(1) India																						
(i)	Individuals/Trusts/Unincorporated Family																					
(ii)	Central Government/State Government(s)																					
(iii)	Local Government/Urban Local Bodies	1	241652692			241652692	74.86	241652692.00				74.86							241652692			
(iv)	Any Other (Specify)																					
	Sub-Total (A)(1)	1	241652692			241652692	74.86	241652692.00				74.86							241652692			
(2) Foreign																						
(i)	Individuals/Non-Resident Individuals/Foreign Individuals																					
(ii)	Government																					
(iii)	Institutions																					
(iv)	Foreign Portfolio Investor																					
(v)	Any Other (Specify)																					
	Sub-Total (A)(2)																					
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	1	241652692			241652692	74.86	241652692.00				74.86							241652692			
Details of Shares which remain unclaimed for Promoter & Promoter Group																						
B Table III - Statement showing shareholding pattern of the Public Shareholder <i>Note - Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.</i>																						
(1) Institutions (Domestic)																						
(i)	Mutual Funds	19	5593131			5593131	1.73	5593131				1.73							5593131	0		
(ii)	Venture Capital Funds																					
(iii)	Alternate Investment Funds	15	4160897			4160897	1.29	4160897				1.29							4160897	0		
(iv)	Banks																					
(v)	Insurance Companies	6	1038585			1038585	3.22	1038585				3.22							1038585	0		
(vi)	Pension Funds/ Pension Funds																					
(vii)	Asset Reconstruction Companies																					
(viii)	Securities Welfare Funds																					
(ix)	MFIs registered with SEBI	1	1125			1125	0.00	1125				0.00							1125	0		
(x)	Other financial institutions																					
(xi)	Any Other (Specify)																					
	Sub-Total (B)(1)	41	20141004			20141004	6.24	20141004				6.24							20141004	0		
(2) Institutions (Foreign)																						
(i)	Foreign Direct Investment																					
(ii)	Foreign Venture Capital Investors																					
(iii)	Securities Welfare Funds																					
(iv)	Foreign Portfolio Investors Category I	114	23993664			23993664	7.43	23993664				7.43							23993664	0		
(v)	Foreign Portfolio Investors Category II																					
(vi)	Foreign Institutional Investors including GDR Issuance (Global)																					
(vii)	Any Other (Specify)																					
	Sub-Total (B)(2)	114	23993664			23993664	7.43	23993664				7.43							23993664	0		
(3) Central Government / State Government(s)																						
(i)	Central Government / President of India																					
(ii)	State Government / Governor																					
(iii)	Shareholding in Companies in India, Corporate which Central / State Government is a shareholder																					
	Sub-Total (B)(3)																					
(4) Non-Institutions																						
(i)	Associate companies / Subsidiaries																					
(ii)	Directors and their relatives/Former employees, Officers and former Directors	1	24670			24670	0.01	24670				0.01							24670	0		
(iii)	Key Managerial Personnel	1	8400			8400	0.00	8400				0.00							8400	0		
(iv)	Relative of promoters (other than immediate relatives) of promoters disclosed under Promoter and Promoter Group category																					
(v)	Trust which are either belonging to Promoter and Promoter Group category, trustee, beneficiary, or holder of the trust																					
(vi)	Investor Education and Protection Fund (IEPF)																					
(vii)	Resident individuals holding central share credit in India - 3(a)(i)	182045	24770375			24770375	7.67	24770375				7.67							24770375	0		
(viii)	Resident individuals holding central share credit in respect of 3-2(a)(ii)	36	3835532			3835532	1.19	3835532				1.19							3835532	0		
(ix)	Non-Resident Indians (NRI)	438	2566300			2566300	0.79	2566300				0.79							2566300	0		
(x)	Foreign Nationals																					
(xi)	Foreign Companies																					
(xii)	Bodies Corporate	1253	4443147			4443147	1.38	4443147				1.38							4443147	0		
(xiii)	Any Other (Specify)	3611	1380257			1380257	0.43	1380257				0.43							1380257	0		
	Sub-Total (B)(4)	151513	37028683			37028683	11.47	37028683.00				11.47							37028683	0		
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	191509	81163349			81163349	25.14	81163349				25.14							81150094	0		
Details of the shareholders acting as persons in Control for Public																						
Details of Shares which remain unclaimed for Public																						
C Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder																						
(1)	Investors (other than those of the category of Resident Individuals)																					
(2)	Investors (other than those of the category of Resident Individuals) who are not acting as persons in control for Promoter and Promoter Group category																					
	Total NonPromoter- Non Public Shareholding (C)=(C)(1)+(C)(2)																					
	Total (A+B+C)	191509	322816041			322816041	100.00	322816041.00				100.00							322802776	0		
	Total (A+B+C)	191509	322816041			322816041	100.00	322816041.00				100.00							322802776	0		

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(XI) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares				
									No of Voting Rights (X)		Total as a % of Total Voting rights				Shareholding (No. of shares) under				
									Class of Rights eg. x	Total					Sub-category (I)	Sub-category (II)	Sub-category (III)		
B4(m) Any Other (specify)																			
1	Clearing Members	Category				62	103411	103411	0.03	103411	103411	0.03	0.03	103411		0	0	0	
2	HUF	Category				3540	863883	863883	0.27	863883	863883	0.27	0.27	863883		0	0	0	
3	Trusts	Category				9	412963	412963	0.13	412963	412963	0.13	0.13	412963		0	0	0	
					Click here to go back	Total	3611	1380257	1380257	0.43	1380257.00	1380257.00	0.43	0.43	1380257		0	0	0

Shareholding of Promoter

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)*(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				
						Class eg: X	Total					
A1(c) Financial Institutions/ Banks												
1	ICICI Bank Limited		241652692	241652692	74.86	241652692.00	241652692.00	74.86	74.86	241652692		Promoter
	Click here to go back	Total	241652692	241652692	74.86	241652692.00	241652692.00	74.86	74.86	241652692		

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
						Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
B1(e) Insurance Companies														
Disclosure of shareholder holding more than 1% of total number of shares														
1	LIFE INSURANCE CORPORATION OF INDIA		7500153	7500153	2.32	7500153.00	7500153.00	2.32	2.32	7500153		0	0	0
	Click here to go back	Total	7500153	7500153	2.32	7500153.00	7500153.00	2.32	2.32	7500153		0	0	0

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (X)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
						Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
B2(d) Foreign Portfolio Investors Category I														
<div style="background-color: #f4a460; padding: 2px; display: inline-block; margin-bottom: 5px;"> </div> <div style="background-color: #f4a460; padding: 2px; display: inline-block; margin-bottom: 5px; margin-left: 10px;"> </div> Disclosure of shareholder holding more than 1% of total number of shares														
1	GOVERNMENT PENSION FUND GLOBAL		4217216	4217216	1.31	4217216.00	4217216.00	1.31	1.31	4217216		0	0	0
2	SMALLCAP WORLD FUND, INC		3293062	3293062	1.02	3293062.00	3293062.00	1.02	1.02	3293062		0	0	0
Click here to go back			Total	7510278	7510278	2.33	7510278.00	7510278.00	2.33	2.33	7510278	0	0	0

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	7.93
As on the end of previous 1st quarter	100.00	7.58
As on the end of previous 2nd quarter	100.00	7.77
As on the end of previous 3rd quarter	100.00	6.97
As on the end of previous 4th quarter	100.00	6.71

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.