

Shareholding pattern of ICICI Securities Limited as on December 31, 2022

General information about company	
Scrip code	541179
NSE Symbol	ISEC
MSEI Symbol	NOTLISTED
ISIN	INE763G01038
Name of the company	ICICI Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Note - Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Shareholding (No. of shares) under		
								Class eg: X	Class eg:Y	Total											Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group	2	241652092			241652092	74.85	24,16,52,692.00					74.85					241652092					
(B)	Public	176573	81179959			81179959	25.15	81179959.00					25.15					81179954	0	0	0		
(C)	Non Promoter- Non Public																						
(C1)	Shares underlying DRs																						
(C2)	Shares held by Employee Trusts																						
	Total	176574	322828651			322828651	100	322828651.00					100					322828646	0	0	0		

Sl.	Category & Name of the Shareholders (I)	Nos. Of shareholders (II)	No. of fully paid up equity shares held (V)	No. Of Partly paid-up equity shares held (VI)	No. Of shares underlying Depository Receipts (VII)	Total nos. shares held (VIII) = (V)+(VI)+(VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (III)	Number of Voting Rights held in each class of securities(IX)			No. Of Shares underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X) (a)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted shares capital) (XII) = (X)+(XI) As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged or otherwise encumbered (XIV)		Number of equity shares held in dematerialized form (XV)	Sub-categorization of shares Shareholding (No. of shares) under			
								No of Voting (XVI)Rights							Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	Sub-category (I)	Sub-category (II)	Sub-category (III)
								Class NE X	Class RE Y	Total													
A. Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																							
(A) Indian																							
	Individuals (Hindu undivided Family)																						
	Central Government/ State Government(s)																						
	Foreign Institutional Investors	1	241652692			241652692	74.85	241652692.00					241652692						241652692				
	Any Other category																						
	Sub-Total (A)(1)	1	241652692			241652692	74.85	241652692.00					241652692						241652692				
(B) Foreign																							
	Individuals (Non-Resident Individuals/ Foreign Nationals)																						
	Governments																						
	Institutions																						
	Foreign Institutional Investors																						
	Any Other category																						
	Sub-Total (A)(2)																						
	Total Shareholding of Promoter and Promoter Group (A)+(B) (A)(X2)	1	241652692			241652692	74.85	241652692.00					241652692						241652692				
Details of shares which remain unencumbered for Promoter & Promoter Group.																							
B. Table III - Statement showing shareholding pattern of the Public Shareholder Note - Kindly show details of shareholders having more than one percentage of total no. of shares. Please refer software manual.																							
(I) Institutions (Domestic)																							
	Mutual Funds	19	5589343			5589343	1.73	5589343					5589343						5589343				
	Venture Capital Funds																						
	Alternative Investment Funds	17	3021457			3021457	0.94	3021457					3021457						3021457				
	Banks																						
	Insurance Companies	4	10153087			10153087	3.15	10153087					10153087						10153087				
	Pension Funds/ Provident Funds/ Gratuity Funds																						
	Asset Reconstruction Companies																						
	Securities Welfare Funds																						
	MFIs registered with SEBI	2	1400			1400	0.00	1400					1400						1400				
	Other Financial Institutions																						
	Any Other category																						
	Sub-Total (B)(1)	41	18765287			18765287	5.81	18765287					18765287						18765287				
(II) Institutions (Foreign)																							
	Foreign Direct Investment																						
	Foreign Venture Capital Investors																						
	Securities Welfare Funds																						
	Foreign Portfolio Investors Category - 1	126	24623845			24623845	7.60	24623845					24623845						24623845				
	Foreign Portfolio Investors Category - 2	11	440575			440575	0.14	440575					440575						440575				
	Overseas Depositories/ Issuing Joint Sponsorship Banks																						
	Any Other category																						
	Sub-Total (B)(2)	137	25064420			25064420	7.76	25064420					25064420						25064420				
(III) Central Government / State Government(s)																							
	Central Government / President of India																						
	State Government / Government																						
	Shareholding in Companies of India, Corporate where Central / State Government is a promoter																						
	Sub-Total (B)(3)																						
(IV) Non-Institutions																							
	Associate companies / Subsidiaries																						
	Reserves and their relatives (including independent directors and company directors)																						
	Key Managerial Personnel																						
	Relatives of promoters (other than "immediate relatives" of promoters disclosed under "Promoter and Promoter Group Categories")																						
	Trusts where any person belonging to "Promoter and Promoter Group" category is trustee, beneficiary, or holder of the trust.																						
	Investor Education and Protection Fund (IEPF)																						
	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	167279	25099509			25099509	7.77	25099509					25099509						25099509				
	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	36	3774943			3774943	1.17	3774943					3774943						3774943				
	Non-Resident Indians (NRIs)	4302	2577789			2577789	0.80	2577789					2577789						2577789				
	Foreign Nationals																						
	Foreign Companies																						
	Bodies Corporate	1295	4300067			4300067	1.33	4300067					4300067						4300067				
	Any Other category	3480	1560876			1560876	0.48	1560876					1560876						1560876				
	Sub-Total (B)(4)	176234	37346252			37346252	11.57	37346252					37346252						37346252				
	Total Public Shareholding (B)-(B)(1)-(B)(2)-(B)(3)-(B)(4)	176234	81179599			81179599	25.15	81179599					81179599						81179599				
Details of the shareholders acting as persons in Control for Public.																							
Details of shares which remain unencumbered for Public.																							
C. Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder																							
	Subordinate Investor - Equity of 10 crores or less																						
	Subordinate Investor - Equity of 10 crores or less (including Securitisation / Preference Shares/ Debt Instruments / Other Equity Instruments / Derivatives and Other Financial Instruments, etc.)																						
	Total Nonpromoter- Non Public Shareholding (C)+(C)(1)+(C)(2)																						
	Total (A+B+C)	176234	32282851			32282851	100.00	32282851.00					32282851						32282851				
	Total (A+B+C)	176234	32282851			32282851	100.00	32282851.00					32282851						32282851				

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
									No of Voting Rights					Shareholding (No. of shares) under		
									Class eg: X	Total				Sub-category (i) Sub-category (ii) Sub-category (iii)		
B4(m) Any Other (specify)																
1	Clearing Members	Category			42	252612	252612	0.08	252612	252612	0.08	0.08	252612	0	0	0
2	HUF	Category			3428	946928	946928	0.29	946928	946928	0.29	0.29	946928	0	0	0
3	Trusts	Category			10	361336	361336	0.11	361336	361336	0.11	0.11	361336	0	0	0
		Click here to go back			Total	3480	1560876	1560876	0.48	1560876.00	1560876.00	0.48	0.48	1560876	0	0

Shareholding of Promoter

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				
						Class eg: X	Total					
A1(c)	Financial Institutions/ Banks											
1	ICICI Bank Limited		241652692	241652692	74.85	241652692.00	241652692.00	74.85	74.85	241652692		Promoter
	Click here to go back	Total	241652692	241652692	74.85	241652692.00	241652692.00	74.85	74.85	241652692		

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
						Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
B2(d) Foreign Portfolio Investors Category I														
Disclosure of shareholder holding more than 1% of total number of shares														
1	GOVERNMENT PENSION FUND GLOBAL		5129101	5129101	1.59	5129101.00	5129101.00	1.59	1.59	5129101		0	0	0
	Click here to go back	Total	5129101	5129101	1.59	5129101.00	5129101.00	1.59	1.59	5129101		0	0	0

Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
						No of Voting (XIV) Rights		Total as a % of Total Voting rights				Shareholding (No. of shares) under		
						Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
B1(e) Insurance Companies														
Disclosure of shareholder holding more than 1% of total number of shares														
1	LIFE INSURANCE CORPORATION OF INDIA		7500153	7500153	2.32	7500153.00	7500153.00	2.32	2.32	7500153		0	0	0
	Click here to go back	Total	7500153	7500153	2.32	7500153.00	7500153.00	2.32	2.32	7500153		0	0	0

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	8.26
As on the end of previous 1st quarter	100.00	7.93
As on the end of previous 2nd quarter	100.00	7.58
As on the end of previous 3rd quarter	100.00	7.77
As on the end of previous 4th quarter	100.00	6.97

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.