

Format of Holding of Specified securities

1. Name of Listed Entity: **ICICI SECURITIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **541179, ISEC, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c): **31(1)(b)**
  - a. if under 31(1)(b) then indicate the report for quarter ending: **30/09/2020**
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	<b>Particulars</b>	<b>YES*</b>	<b>NO*</b>
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?	Yes	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No
f	Whether company has equity shares with differential voting rights?		No
g	Whether the listed entity has any significant beneficial owner?		No

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(A)	Promoter & Promoter Group	1	248774095	0	0	248774095	77.22	248774095	0	248774095	77.22	0	77.22	64428280	25.90	0	0.00	248774095
(B)	Public	130972	73407545	0	0	73407545	22.78	73407545	0	73407545	22.78	0	22.78	0	0.00	-	-	73407440
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Total:</b>	<b>130973</b>	<b>322181640</b>	<b>0</b>	<b>0</b>	<b>322181640</b>	<b>100.00</b>	<b>322181640</b>	<b>0</b>	<b>322181640</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>64428280</b>	<b>20.00</b>	<b>0</b>	<b>0.00</b>	<b>322181535</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (III+IV+V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957 (VII) as a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VI)-(IX) as a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y	Total (viii)							
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)				(IX)	(X)		(XI)		(XII)	(XIII)
(1)	Indian																
(a)	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Financial Institutions/Banks	1	248774095	0	0	248774095	77.22	248774095	0	248774095	77.22	0	77.22	64428280	25.90	0	0.00
	ICICI BANK LTD	1	248774095	0	0	248774095	77.22	248774095	0	248774095	77.22	0	77.22	64428280	25.90	0	0.00
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Sub-Total (A)(1)</b>	<b>1</b>	<b>248774095</b>	<b>0</b>	<b>0</b>	<b>248774095</b>	<b>77.22</b>	<b>248774095</b>	<b>0</b>	<b>248774095</b>	<b>77.22</b>	<b>0</b>	<b>77.22</b>	<b>64428280</b>	<b>25.90</b>	<b>0</b>	<b>0.00</b>
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>1</b>	<b>248774095</b>	<b>0</b>	<b>0</b>	<b>248774095</b>	<b>77.22</b>	<b>248774095</b>	<b>0</b>	<b>248774095</b>	<b>77.22</b>	<b>0</b>	<b>77.22</b>	<b>64428280</b>	<b>25.90</b>	<b>0</b>	<b>0.00</b>

Table III - Statement showing shareholding pattern of the Public shareholders

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (III+IV+V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957 (VII) as a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VI)+(X) as a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)			(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	15	24449594	0	0	24449594	7.59	24449594	0	24449594	7.59	0	7.59	0	0.00	NA	NA	24449594
	ICICI PRUDENTIAL MUTUAL FUND (under its various Schemes)	1	6633656	0	0	6633656	2.06	6633656	0	6633656	2.06	0	2.06	0	0.00	NA	NA	6633656
	IDFC MUTUAL FUND (under its various Schemes)	1	4827302	0	0	4827302	1.50	4827302	0	4827302	1.50	0	1.50	0	0.00	NA	NA	4827302
	L & T MUTUAL FUND (under its various Schemes)	1	3755661	0	0	3755661	1.17	3755661	0	3755661	1.17	0	1.17	0	0.00	NA	NA	3755661
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	19	5321448	0	0	5321448	1.65	5321448	0	5321448	1.65	0	1.65	0	0.00	NA	NA	5321448
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	107	12813260	0	0	12813260	3.98	12813260	0	12813260	3.98	0	3.98	0	0.00	NA	NA	12813260
(f)	Financial Institutions/Banks	2	193497	0	0	193497	0.06	193497	0	193497	0.06	0	0.06	0	0.00	NA	NA	193497
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Qualified Institutional Buyer	5	2458540	0	0	2458540	0.76	2458540	0	2458540	0.76	0	0.76	0	0.00	NA	NA	2458540
	<b>Sub Total (B)[1]</b>	<b>148</b>	<b>45236339</b>	<b>0</b>	<b>0</b>	<b>45236339</b>	<b>14.04</b>	<b>45236339</b>	<b>0</b>	<b>45236339</b>	<b>14.04</b>	<b>0</b>	<b>14.04</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>45236339</b>
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)[2]</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	123751	20135436	0	0	20135436	6.25	20135436	0	20135436	6.25	0	6.25	0	0.00	NA	NA	20135331
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	23	1964811	0	0	1964811	0.61	1964811	0	1964811	0.61	0	0.61	0	0.00	NA	NA	1964811
(b)	NBFCs Registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	TRUSTS	21	440065	0	0	440065	0.14	440065	0	440065	0.14	0	0.14	0	0.00	NA	NA	440065
	NON RESIDENT INDIANS	1862	1185213	0	0	1185213	0.37	1185213	0	1185213	0.37	0	0.37	0	0.00	NA	NA	1185213
	CLEARING MEMBERS	102	159882	0	0	159882	0.05	159882	0	159882	0.05	0	0.05	0	0.00	NA	NA	159882
	NRI NON-REPATRIATION	1049	756569	0	0	756569	0.23	756569	0	756569	0.23	0	0.23	0	0.00	NA	NA	756569
	BODIES CORPORATES	887	2732719	0	0	2732719	0.85	2732719	0	2732719	0.85	0	0.85	0	0.00	NA	NA	2732719
	H U F	3128	795686	0	0	795686	0.25	795686	0	795686	0.25	0	0.25	0	0.00	NA	NA	795686
	FOREIGN NATIONALS	1	825	0	0	825	0.00	825	0	825	0.00	0	0.00	0	0.00	NA	NA	825
	<b>Sub Total (B)[3]</b>	<b>130824</b>	<b>28171206</b>	<b>0</b>	<b>0</b>	<b>28171206</b>	<b>8.74</b>	<b>28171206</b>	<b>0</b>	<b>28171206</b>	<b>8.74</b>	<b>0</b>	<b>8.74</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>28171101</b>
	<b>Total Public Shareholding (B) = (B)[1)+(B)[2)+(B)[3]</b>	<b>130972</b>	<b>73407545</b>	<b>0</b>	<b>0</b>	<b>73407545</b>	<b>22.78</b>	<b>73407545</b>	<b>0</b>	<b>73407545</b>	<b>22.78</b>	<b>0</b>	<b>22.78</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>73407440</b>

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholders

Category	Category & Name of the Shareholder	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Shares Underlying Depository Receipts	Total No. of Shares Held (III+IV+V)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957 (VII) as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VI)+(IX) as a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held			
								Class X	Class Y	Total									
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)		(XII)	(XIII)			
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	NA	NA	0
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>

**Details of the shareholders acting as persons in Concert including their Shareholding:**

<b>Name of Shareholder</b>	<b>Name of PAC</b>	<b>No. of shares</b>	<b>Holding %</b>
<b>Total</b>		<b>0</b>	<b>0</b>

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account</b>	
<b>No. of Shareholders</b>	<b>No. of shares</b>
0	0